

Data DFSA – Sources of Personal

The DFSA may collect personal data from a range of sources in performing its day-to-day functions and activities, including but not limited to:

- a. Directly from the individual.
- b. Authorised Persons and DNFPs (providing information on their employees, agents, advisers, shareholders, ultimate beneficial owners or other related or connected individuals, each for regulatory purposes).
- c. Applications for licensing or registration.
- d. Use of DFSA online forms and systems.
- e. Publicly available information (public directories, media, social media, internet, news articles etc.).
- f. Courts and public records.
- g. Governments, regulators, official or formal bodies, authorities and organisations, including but not limited to for AML/CTF.
- h. Professional screening programs and facilities such as Accuity.
- i. Relevant Persons (as defined in the GLO Module of the DFSA Rulebook, available here: [Glossary Module \(GLO\)](#)).
- j. DFSA regulatory reporting or notifications.
- k. DFSA outreach and information sessions.
- l. DFSA surveys.
- m. DFSA supervisory contact forms.
- n. DFSA reception sign in.
- o. DFSA security cameras.
- p. DFSA guest Wi-Fi.
- q. Recruitment service providers.
- r. Third party goods or services providers.
- s. Contract counterparties.
- t. DFSA subscription lists (Alerts, Media releases and Discussion Papers, DFSA publications, Changes in legal framework, SEO letters and HR updates).

DFSA - 'purposes' for the processing of Personal Data

The purposes for which the DFSA will process Personal Data will vary but includes at least one (1) or more of the following:

- a. for the exercise of the DFSA's regulatory activities and functions;
- b. for the performance of a task carried out by the DFSA in the interests of the DIFC;
- c. for the performance of a contract to which an individual is a party or to take steps at the request of an individual before entering into such contract;
- d. where processing is necessary in order to for the DFSA to comply with particular laws;
- e. for another specific purpose on the basis of a clear, affirmative consent that is freely given by the concerned individual;
- f. DFSA reception sign in;
- g. DFSA teams electronic storage of information in carrying out day-to-day functions and activities;
- h. DFSA surveys internal or external;
- i. DFSA reporting or benchmarking;
- j. DFSA information sessions;
- k. DFSA social events or volunteering or charitable events;
- l. accounting and auditing;
- m. administration of justice;
- n. administration of membership records;
- o. advertising, marketing and public relations for the DFSA;
- p. advertising, marketing and public relations for others;
- q. benefits, grants and loans administration;
- r. consultancy and advisory and other professional services;
- s. credit referencing;
- t. debt administration and factoring;
- u. education;
- v. health and safety;
- w. human resources functions;
- x. information and data bank administration;
- y. insurance administration;
- z. legal services;
- aa. licensing and registration;
- bb. memoranda of understanding with other regulators, bodies or authorities;
- cc. pastoral care;
- dd. pensions administration;
- ee. policing;
- ff. private investigation;
- gg. property management;
- hh. provision of financial services;
- ii. research;
- jj. security;
- kk. services or products provided by third parties not captured in other categories;
- ll. staff administration; and
- mm. requests from State or Federal government or official bodies or authorities.