



What is the DFSA's Public Register

Did you know that the DFSA maintains a public register on its website? The public register enables anyone to access information about which Firms and Individuals are authorised by the DFSA and what financial services they can provide in or from the Dubai International Financial Centre (DIFC).

The public register can be found [here](#). You can search the name of a Firm, Individual or Fund that is, or has been, licensed, registered or approved by the DFSA. You can also see their main contact details, trading names and other basic information.

CHECK PUBLIC REGISTER

Under the Rule 9.8.3 of the Markets Rules module of the DFSA Rulebook, Reporting Entities are required to disclose to the Market information through a DFSA approved Regulatory Announcement Service

[Firms](#)
[Individuals](#)
[Funds](#)
[Passported Funds](#)
[Prohibited/Restricted Individuals](#)

Type

Legal Status

Endorsement

Financial Services

Search

822 firms found

NAME	REFERENCE NUMBER	FIRM TYPE
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Additional notices

[Waiver & Modification](#)
[Notices](#)

The type of information in the public register includes:

- Firms** – information is provided about Firms that hold (or have held) a Financial Services Licence(s) to carry out financial services in or from the DIFC. Details include the types of financial services the Firm is authorised to provide and any restrictions on their licence. Information is also provided about Registered Auditors, Designated Non-Financial Businesses or Professions (DNFBPs), Authorised Market Institutions (AMI), Recognised Members and Recognised Bodies and their contact details.



- **Individuals** – information is provided about Individuals who are authorised or approved (or have been authorised or approved) by the DFSA to carry out specific roles or functions such as a licensed director, a compliance officer, or a money laundering reporting officer, amongst others. You can also see what Firm or entity they are authorised to carry out their roles for.
- **Funds** – information is provided about Funds established (or withdrawn) in the DIFC and includes specific information about the type of Fund, the Fund Manager and the name of the Fund.
- **Passported Funds** – information is provided on Funds that are established in another jurisdiction in the UAE (outside the DIFC) that can be offered in the DIFC including information about the Fund Manager, the Fund Name and its status (e.g., whether it is active or withdrawn).
- **Prohibited/Restricted Individuals** – Information is provided about Individuals who are restricted or prohibited (or have been restricted or prohibited) from performing functions in connection with Financial Services in or from the DIFC. You can also see when their restriction or prohibition came into effect and the Decision Notice announcing their restriction or prohibition.

Only Firms, Individuals and Funds that have been licensed, registered or approved by the DFSA can provide financial services in or from the DIFC. [Just because a firm is based in the DIFC does not mean it can provide financial services](#). Unfortunately, some firms and individuals seek to operate without our authorisation or provide financial services without the proper approvals. If a Firm, Individual or Fund claims to be regulated by the DFSA but is not authorised or offers a financial service for which it is not licensed, please bring this to the attention of the DFSA by contacting us through our [online complaints portal](#).

For a more detailed explanation on the information contained in each of the Public Register's categories and instructions on how to use DFSA's Public Register, please refer to this [guide](#).