

By Email

14 September 2021

To: Money Laundering Reporting Officers (MLRO) of Relevant Persons

Subject: Notification re UAE National List of Terrorist Individuals and Entities

Dear MLRO,

The DFSA is writing to inform you of recent announcements made by the UAE Government. You are required under applicable Federal AML legislation and DFSA administered legislation to take immediate action as set out below under “**Required action**”.

Background

On 13 September 2021, the UAE Cabinet issued Resolution No 83 of 2021 which updated the UAE National List of Terrorist Individuals and Entities (the **UAE Terrorist List**) containing names of individuals and entities subject to sanctions for supporting terrorism (the **Decision**). The Decision can be found in the “Press Releases” section on the UAE Committee for Goods & Material Subject to Import & Export Executive Office at: <https://www.uaieec.gov.ae/en-us/news/the-uae-cabinet-approves-the-inclusion-of-38-individuals-and-15-entities-in-the-national-terrorism-list>.

On 13 September 2021, the UAE Committee for Goods & Material Subject to Import & Export Executive Office circulated the Decision (access: [here](#)) which applies to all Relevant Persons, including Authorised Firms, Credit Rating Agencies, Authorised Market Institutions, Designated Non-Financial Businesses or Professions and Registered Auditors.

Required action

You are required to update the details of those included on the UAE Local Terrorist List, review your client databases and any information obtained in relation to potential or existing clients against the updated UAE Terrorist List, and if necessary, take any other action required under applicable Federal AML legislation and DFSA administered legislation.

Please note that all Relevant Persons are required to take the action specified in this letter. There are no exceptions. The DFSA will take appropriate action where there is a breach of the requirements under the Federal AML legislation or DFSA administered legislation.

Please note that the UAE Government maintains an e-mailing list to alert recipients to updates to the UNSC List and the UAE Terrorist List. All Relevant Persons are required to subscribe to this service to ensure they receive timely notice of updates to the UAE Terrorist List and the UNSC List as per Federal Cabinet Resolution No. 74 of 2020. To subscribe, please visit the UAE Committee for Goods & Material Subjected to Import and Export Control website: [International and National Sanctions Lists Section](#). The subscribe button is located at the bottom of webpage.

The DFSA is issuing this letter to assist Relevant Persons to comply with their obligations under Federal AML legislation and DFSA administered legislation. However, each Relevant Person, and its senior management and staff, are responsible for maintaining systems and controls to ensure that they are properly informed as to, and take required measures concerning, relevant resolutions and sanctions issued by the United Nations Security Council, the UAE Government and other applicable national and international competent authorities and organisations on AML/CTF and sanctions compliance.

The links in this letter are provided as a courtesy on a non-reliance basis. If the link is not functioning, Relevant Persons may consider using the full URL to conduct a website search for the Committee's website.

Relevant Persons are reminded that general communications with the DFSA should be made using the Supervised Firm Contact Form available on the [DFSA e-Portal](#). This includes any questions regarding this email.

Yours sincerely,

DFSA Financial Crime Prevention Team, Supervision