

**Appendix 4**

In this Appendix underlining indicates new text and striking through indicates deleted text.



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# The DFSA Rulebook

Glossary Module

**(GLO)**

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## A

Defined Term	Definition
.....	.....
<u>Account Information Service</u>	<u>Has the meaning given in GEN Rule 2.32.1(3).</u>
.....	.....
<u>Arranging or Advising on Money Services</u>	<u>Has the meaning given in GEN Rule 2.32.1.</u>

## ..... C

Defined Term	Definition
....	....
Commodity Derivative	....
<u>Companies Law</u>	<u>DIFC Law No. 5 of 2018 relating to the incorporation of Companies.</u>
Complaint	....
.....	.....

## D

Defined Term	Definition
....	....
DIFC Incorporated Insurer	An Insurer that is a Body Corporate incorporated under the DIFC Companies Law.
DIFC Registrar of Companies	The registrar of companies appointed pursuant to the DIFC Companies Law.
.....	.....

## E

Defined Term	Definition
....	....
<u>Employee Share Scheme</u>	<p><u>A scheme or arrangement for encouraging or facilitating the holding of Securities of a Body Corporate by or for the benefit of:</u></p> <p>(a) <u>existing or former Employees of the Body Corporate or an entity in its Group; or</u></p> <p>(b) <u>Close Relatives of the Employees referred to in (a).</u></p>
....	....

## .... H

Defined Term	Definition
....	....
Holding Company	<p>Has the meaning given in Schedule 1 to the DIFC Companies Law and, without limiting the generality of that definition, is taken to include, in relation to a relevant Body Corporate (the Subsidiary), the Subsidiary's ultimate Holding Company and any Holding Company between the Subsidiary and the Subsidiary's ultimate Holding Company.</p>
....	....

## .... I

Defined Term	Definition
....	....
Investment Company	<p>An open or closed ended company established for the main purpose of collective investment which is incorporated under of the DIFC Companies Law in accordance with the Regulations made under that Law.</p>
....	....

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**M**

Defined Term	Definition
....	....
<u>Money Services</u>	<u>The services referred to in GEN Rule 2.6.1</u>
<u>Money Services Auditor's Report</u>	<u>A report referred to in GEN Rule 8.6.1(f).</u>
<u>Money Services Provider</u>	<u>A Person that Provides Money Services.</u>
<u>Money Transmission</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>

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**O**

Defined Term	Definition
....	....
<u>Ordinary Resolution</u>	<u>In relation to a company, a resolution passed by a simple majority of such shareholders of that company as (being entitled to do so) vote in person or, where proxies are allowed, by proxy, at a general meeting for which notice specifying the intention to propose the resolution has been duly given.</u>

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**P**

Defined Term	Definition
....	....
<u>Payment Account</u>	<u>Has the meaning given in GEN Rule 2.6.1(2)</u>
<u>Payment Account Provider</u>	<u>A Person that provides or operates a Payment Account.</u>

<b>Defined Term</b>	<b>Definition</b>
<u>Payment Initiation Service</u>	<u>Has the meaning given in GEN Rule 2.32.1(4).</u>
<u>Payment Instrument</u>	<u>Has the meaning given in GEN Rule 2.6.1(2)</u>
<u>Payment Order</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>
<u>Payment Service</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>
<u>Payment Service Provider</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>
<u>Payment Transaction</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>
.....	.....
Protected Cell Company	A company incorporated as, or converted into, a Protected Cell Company in accordance with the provisions of the Regulations made under DIFC the Companies Law.
....	....
<u>Providing Money Services</u>	<u>Has the meaning given in GEN Rule 2.6.1.</u>
.....	.....

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## S

<b>Defined Term</b>	<b>Definition</b>
....	....
<u>Small or Medium-sized Enterprise</u>	<u>In relation to:</u>  (a) <u>an Applicant for admission of Shares to the List, has the meaning given in MKT Rule 1.3.3(1); and</u>  (b) <u>a Listed Entity that has Shares admitted to the List, has the meaning given in MKT Rule 1.3.3(2).</u>
<u>SME</u>	<u>A Small or Medium-sized Enterprise.</u>
.....	.....
Special Resolution	(1) In relation to a Domestic Fund, a resolution passed by a majority of not less than 75% of the votes

<b>Defined Term</b>	<b>Definition</b>
	<p>validly cast (whether on a show of hands or on a poll) for and against the resolution at a general meeting or class meeting of Unitholders, of which notice specifying the intention to propose the resolution as a special resolution has been duly given.</p> <p>(2) <u>In relation to a company (that is not a Domestic Fund), a resolution passed by at least seventy five per cent (75%) of the votes of such Shareholders as (being entitled to do so) vote in person or, where proxies are allowed, by proxy, at a general meeting for which notice specifying the intention to propose the resolution has been duly given.</u></p>
....	....
<u>Stored Value</u>	<u>Has the meaning given in GEN Rule 2.6.1(2).</u>
<u>Stored Value Issuer</u>	<u>A Person that issues Stored Value.</u>
....	....
Subsidiary	Has the meaning given in Schedule 1 to the DIFC Companies Law and, without limiting the generality of that definition, is taken to include, in relation to a relevant Body Corporate (the Holding Company), the Holding Company's ultimate Subsidiary and any Subsidiary between the Holding Company and the Holding Company's ultimate Subsidiary.

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## U

<b>Defined Term</b>	<b>Definition</b>
.....	....
<u>User</u>	<u>In relation to Providing Money Services or Arranging or Advising on Money Services, means a Client using that service and includes, in relation to a Payment Service, a Person acting in the capacity of payer, payee or both.</u>
.....	....